



3739

PTO/SB/21 (01-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/436,455	
	Filing Date	11/8/1999	
	First Named Inventor	DANEK, Christopher J	
	Art Unit	3739	
	Examiner Name	D. Shay	
Total Number of Pages in This Submission	5	Attorney Docket Number	435712000921

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	>Certificate Under 3.73(b) (1 page)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	>Statement in support of Certificate Under 3.73(b) (1 page)
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	>Return postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

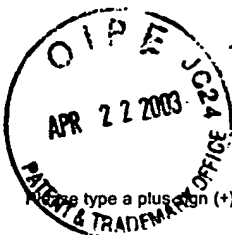
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Sanjay S. Bagade <i>Reg # 42,280</i>
Signature	<i>Sanjay S. Bagade</i>
Date	April 15, 2003

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 15, 2003	
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Signature	<i>Sanjay S. Bagade</i>
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/82 (10-00)

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REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/436,455
Filing Date	11/8/1999
First Named Inventor	DANEK, Christopher J.
Group Art Unit	3739
Examiner Name	D. Shay
Attorney Docket Number	435712000921

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

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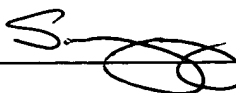
☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

Sanjay S. Bagade

Signature

 S. B. Bagade

Date

April 15, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/436,455
Filing Date	11/8/1999
First Named Inventor	DANAEK, Christopher J.
Title	DEVICES FOR MODIFICATION OF
Group Art Unit	3739
Examiner Name	D. Shay
Attorney Docket Number	435712000921

I hereby appoint:

☒ Practitioners at Customer Number

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OR

☐ Practitioner(s) named below:



36544

PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

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SIGNATURE of Applicant or Assignee of Record

Name

Sanjay S. Bagade

Signature

S. S. Bagade

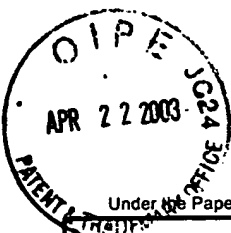
Date

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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: DANEK, Christopher J.Application No./Patent No.: 09/436,455 Filed/Issue Date: 11/8/1999Entitled: DEVICES FOR MODIFICATION OF AIRWAYS BY TRANSFER OF ENERGYBroncus Technologies, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010717, Frame 0582, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

April 15, 2003
Date

Sanjay S. Bagade

Typed or printed name

S. S. Bagade
Signature

Director, Intellectual Property

Title



Broncus Technologies, Inc.
1400 N. Shoreline Blvd.
Building A, Suite 8
Mountain View, CA 94043
www.broncus.com

(650) 428-1542
(650) 428-1542 fax

April 10, 2003

United States Patent & Trademark Office
Assistant Commissioner for Patents
Washington, D.C. 20231

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Dear Sirs:

I am the President and Chief Executive Officer of Broncus Technologies Inc. On March 24, 2003 Broncus hired Sanjay Bagade as in-house intellectual property counsel with a title of *Director, Intellectual Property*.

On the March 12, 2003 meeting of Broncus Technologies Inc.'s Board of Directors, I presented a motion to also appoint Sanjay Bagade an Assistant Secretary of Broncus Technologies Inc., whose sole authority as an Assistant Secretary is to sign on behalf of the Company documentation that is to be filed with the U.S. Patent and Trademark Office, and similar offices in other countries throughout the world. The Board approved this motion at the March 12, 2003 meeting.

Accordingly, Sanjay Bagade is authorized to act on behalf of Broncus for matters before the U.S. Patent and Trademark Office, and similar offices in other countries throughout the world.

Very truly yours,

Glen E. French

President and Chief Executive Officer, Broncus Technologies Inc.